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(Modified) PTO/SB-17 (12-98)

Approved for use through 09/30/2000 OMB 0651-0032  
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL**  
**for FY 2000**

TECH CENTER

Patent fees are subject to annual revision

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB-09-12.

AUG 11

TOTAL AMOUNT OF PAYMENT

(\$ 25.00)

*Complete If Known*

1500/2900 Application Number	09/539,486
Filing Date	March 30, 2000
First Named Inventor	Sergey A. Selifonov
Examiner Name	Unassigned
Group / Art Unit	Unassigned
Attorney Docket No.	02-028940US

**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to

Deposit Account Number  
50-0893

Law Offices of Jonathan Alan

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2.  Payment Enclosed: Check     Money Order     Other**FEE CALCULATION**1. **BASIC FILING FEE**Large Entity Small Entity  
Fee Fee Fee Fee Description  
Code (\$ ) Code (\$ )

101	690	201	345	Utility filing fee	<input type="text"/>
106	310	206	155	Design filing fee	<input type="text"/>
107	480	207	240	Plant filing fee	<input type="text"/>
108	690	208	345	Reissue filing fee	<input type="text"/>
114	150	214	75	Provisional filing fee	<input type="text"/>

SUBTOTAL (1)  (\$)2. **EXTRA CLAIM FEES**

Total Claims	-20**	=	X	Fee from below	Fee Paid
Independent Claims	- 3**	=	X	<input type="text"/>	<input type="text"/>
Multiple Dependent		=	X	<input type="text"/>	<input type="text"/>

\*\*or number previously paid, if greater. For Reissues, see below

## Large Entity Small Entity

Fee Fee Fee Fee Description  
Code (\$ ) Code (\$ )

103	18	203	9	Claims in excess of 20	<input type="text"/>
102	78	202	39	Independent claims in excess of 3	<input type="text"/>
104	260	204	130	Multiple dependent claim, if not paid	<input type="text"/>
109	78	209	39	** Reissue independent claims over original patent	<input type="text"/>
110	18	210	9	** Reissue claims in excess of 20 and over original patent	<input type="text"/>

SUBTOTAL (2)  (\$)3. **ADDITIONAL FEES**Large Entity Small Entity  
Fee Fee Fee Fee  
Code (\$ ) Code (\$ )

105	130	205	65	Surcharge - late filing fee or oath	<input type="text"/>
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	<input type="text"/>
139	130	139	130	Non-English specification	<input type="text"/>
147	2,520	147	2,520	For filing a request for reexamination	<input type="text"/>
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	<input type="text"/>
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	<input type="text"/>
115	110	215	55	Extension for reply within first month	<input type="text"/>
116	380	216	190	Extension for reply within second month	<input type="text"/>
117	870	217	435	Extension for reply within third month	<input type="text"/>
118	1,360	218	680	Extension for reply within fourth month	<input type="text"/>
128	1,850	228	925	Extension for reply within fifth month	<input type="text"/>
119	300	219	150	Notice of Appeal	<input type="text"/>
120	300	220	150	Filing a brief in support of an appeal	<input type="text"/>
121	260	221	130	Request for oral hearing	<input type="text"/>
138	1,510	138	1,510	Petition to institute a public use proceeding	<input type="text"/>
140	110	240	55	Petition to revive - unavoidable	<input type="text"/>
141	1,210	241	605	Petition to revive - unintentional	<input type="text"/>
142	1,210	242	605	Utility issue fee (or reissue)	<input type="text"/>
143	430	243	215	Design issue fee	<input type="text"/>
144	580	244	290	Plant issue fee	<input type="text"/>
122	130	122	130	Petitions to the Commissioner	<input type="text"/>
123	50	123	50	Petitions related to provisional applications	<input type="text"/>
126	240	126	240	Submission of Information Disclosure Stmt	<input type="text"/>
581	40	581	40	Recording each patent assignment per property (times number of properties)	<input type="text"/>
146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="text"/>
149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	<input type="text"/>

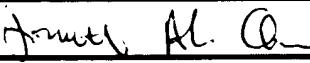
Other fee (specify) Request for corrected filing receipt  25.00

Other fee (specify) \_\_\_\_\_

Reduced by Basic Filing Fee Paid

SUBTOTAL (3)  (\$ 25.00)**SUBMITTED BY**

Complete (if applicable)

Typed or Printed Name	Jonathan Alan Quine			Reg. Number	41,261
Signature		Date	August 7, 2000	Deposit Account User ID	

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: August 7, 2000

Typed or Printed Name	Alexandra Allison			Date	August 7, 2000
Signature		Date	August 7, 2000		



I hereby certify that this correspondence is being deposited with the United States Postal Service first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D C 20231.  
on August 7, 2000

Attorney Docket No. 02-028940US

LAW OFFICES OF JONATHAN ALAN QUINE  
By Alexandra Allison  
Alexandra Allison

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sergey A. Selifonov, et al.

Application No.: 09/539,486

Filed: March 30, 2000

For: **Methods for Making Character Strings,  
Polynucleotides and Polypeptides Having Desired  
Characteristics**

Examiner: Unassigned

Art Unit: Unassigned

### REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that the applicant's information should read as follows:

**LORRAINE J. GIVER, SANTA CLARA, CA**

**WHICH ARE CIPS OF 09/416,375 10/12/1999**

**THIS APPLICATION IS ALSO RELATED TO 09/408,393 09/28/1999**

The correction is due to an error by the applicant and the fee therefor, pursuant to 37 CFR § 1.19(h), of \$25.00 is to be charged to Deposit Account No 50-0893. Please charge any

Sergey A. Selifono et al.  
Application No.: 09/539,486  
Page 2

additional fee or credit overpayment to the Deposit Account No. 50-0893. This petition is submitted in duplicate.

Respectfully submitted,



Jonathan Alan Quine, J.D., Ph.D.  
Reg. No. 41.261

LAW OFFICES OF JONATHAN ALAN QUINE  
P.O. BOX 458  
Alameda, CA 94501  
(510) 337-7871  
Fax (510) 337-7877

**FILING RECEIPT**

\*OC00000005257760\*


**UNITED STATES DEPARTMENT OF  
COMMERCE  
Patent and Trademark Office**

 Address ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/539,486	03/30/2000	1651	2302	02-028940US	15	98	RECEIVED 4

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 LAW OFFICES OF JONATHAN ALAN QUINE  
 P O BOX 458  
 ALAMEDA, CA 94501


TECH CENTER 1600/2903

Date Mailed: 07/21/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**
 SERGEY A. SELIFONOV, LOS ALTOS, CA ;  
 WILLEM P.C. STEMMER, LOS GATOS, CA ;  
 CLAES GUSTAFSSON, BELMONT, CA ;  
 MATTHEW TOBIN, SAN JOSE, CA ;  
 STEPHEN DEL CARDAYRE, BELMONT, CA ;  
 PHILLIP A. PATTEN, MENLO PARK, CA ;  
 JEREMY MINSHULL, MENLO PARK, CA ;  
 LORI GIVER, SUNNYVALE, CA ;
**Continuing Data as Claimed by Applicant**
 THIS APPLICATION IS A CIP OF 09/494,282 01/18/2000  
 WHICH IS A CIP OF PCT/US00/01202 01/18/2000  
 WHICH IS A CIP OF 09/416,375 10/12/1999  
 WHICH CLAIMS BENEFIT OF 60/116,447 01/19/1999  
 AND CLAIMS BENEFIT OF 60/118,854 02/05/1999  
 THIS APPLICATION 09/539,486  
 IS A CIP OF 09/484,850 01/18/2000  
 AND A CIP OF PCT/US00/01203 02/18/2000  
 WHICH IS A CIP OF 09/408,392 09/28/1999  
 WHICH CLAIMS BENEFIT OF 60/118,813 02/05/1999  
 AND CLAIMS BENEFIT OF 60/141,049 06/24/1999  
 THIS APPLICATION 09539486  
 IS A CIP OF PCT/US00/01138 01/18/2000  
 WHICH IS A CIP OF 09/416,837 10/12/1999
**Foreign Applications**

If Required, Foreign Filing License Granted 05/19/2000

O I P E  
AUG 11 2000



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**Title**

METHODS FOR MAKING CHARACTER STRINGS, POLYNUCLEOTIDES AND  
POLYPEPTIDES HAVING DESIRED CHARACTERISTICS

**Preliminary Class**

435

TECH CENTER 1800, 2900

Data entry by : SYDNOR, RUTH

Team : OIPE

Date: 07/21/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

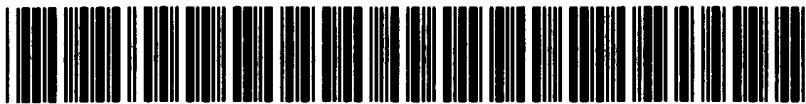
**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.

- The words "**new**," "**improved**," "**improvements in**" or "**relating to**" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



Creation date: 08-28-2003

Indexing Officer: ADAO - ANH-VU DAO

Team: OIPEBackFileIndexing

Dossier: 09539486

Legal Date: 09-10-2002

No.	Doccode	Number of pages
1	ABN	2

Total number of pages: 2

Remarks:

Order of re-scan issued on .....